

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

Applicant: Chen et al.

For: *Efficient Type Annotation of XML Schema-Validated XML Documents without Schema Validation*

Commissioner of Patents
and Trademarks
Washington, DC 20231

Sir:

Applicant is aware of the following references listed below, copies of which are enclosed:

U.S. PATENTS

6,418,446 B1
6,519,617 B1
6,549,221 B1
6,591,260 B1
6,598,015 B1
6,598,219 B1
6,601,075 B1
6,604,099 B1
2002/0019824 A1
2002/0087571 A1
2003/0115548 A1
2003/0163603 A1
2003/0182452 A1

FOREIGN PATENTS

JP 2001-067348
JP 2003-150442
WO 01/14951 A2

The above-listed references are, in the belief and opinion of the undersigned, the closest art of which the applicant is aware as of the date of execution of the Declaration in the above-captioned application.

Respectfully submitted
By Applicant's Representative,

A handwritten signature in black ink, appearing to read "Randy W. Lacasse". The signature is stylized with a large, circular initial "R" and a long, horizontal stroke extending to the right.

Randy W. Lacasse
Registration No. 34,368

Lacasse & Associates, LLC
1725 Duke Street
Suite 650
Alexandria, VA 22314
(703) 838-7683

REVISED PTO FORM 1449 NOTICE OF REFERENCES CITED	SERIAL NO.	GROUP ART UNIT	ATTACHMENT TO PAPER NUMBER
	New Application	Unknown	
	TITLE: <i>Efficient Type Annotation of XML Schema-Validated XML Documents without Schema Validation</i>		
	APPLICANT(S): Chen et al.		
DOCKET NUMBER: SVL920030107US1			

U.S. PATENT DOCUMENTS						
INIT.	DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	6,418,446 B1	7/9/2002	Lection et al.	707	103	3/1/1999
	6,519,617 B1	2/11/2003	Wanderski et al.	707	513	4/8/1999
	6,549,221 B1	4/15/2003	Brown et al.	345	854	12/9/1999
	6,591,260 B1	7/8/2003	Schwarzhoff et al.	707	2	1/28/2000
	6,598,015 B1	7/22/2003	Peterson et al.	704	3	9/10/1999
	6,598,219 B1	7/22/2003	Lau	717	108	10/6/1999
	6,601,075 B1	7/29/2003	Huang et al.	707	104.1	7/27/2000
	6,604,099 B1	8/5/2003	Chung et al.	707	3	7/27/2000
	2002/0019824 A1	2/14/2002	Holder et al.	707	100	4/11/2001
	2002/0087571 A1	7/4/2002	Stapel et al.	707	100	10/19/2001
	2003/0115548 A1	6/19/2003	Melgar	715	513	12/14/2001
	2003/0163603 A1	8/28/2003	Fry et al.	709	328	11/26/2002
	2003/0182452 A1	9/25/2003	Upton	709	246	10/15/2002

FOREIGN PATENT DOCUMENTS						
INIT.	DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUB-CLASS
	JP 2001-67348	3/16/2001	Japan	Yahagi	G06F	17/21
	JP 2003-150442	5/23/2003	Japan	Tanabe	G06F	12/02
	WO 01/14951 A2	3/1/2001	PCT	Maslov et al.	G06F	

OTHER REFERENCES	
INIT.	(Include name of the author, title of article, title of publication, date, pertinent pages, volume-issue, publisher city and/or country where published, etc.)

EXAMINER _____ DATE _____